

1080 - Secretary Of State

Project Name: Data Processing System

Description: This project will acquire and implement software systems to replace the mainframe Central Indexing System and the AS400, which are used to administer Secretary of State's business services, licensing, and administration processes.

Overall Project Status: Yellow

LPO Summary: The project continues to work through the planning and analysis phase, with 77 analysis documents in process of being compiled and finalized. It is expected that analysis should complete within the fourth quarter, with a revised project plan approved in the first quarter of 2012.

Schedule Variance: 72.2 % Behind **Budget Variance:** 1.7 % Over

| Base Start | Base End | Revised End | Total Months | Base Budget | Revised Budget | Actual | EAC |
|------------|------------|-------------|--------------|-------------|----------------|------------|--------------|
| 11/11/2008 | 09/28/2009 | 06/28/2010 | 19 | \$ 697,961 | \$ 798,011 | \$ 811,716 | \$ 4,164,061 |

1120 - Information Technology Department

Project Name: North Dakota Statewide Longitudinal Data System (formerly EdSmart)

Description: The State of North Dakota (ND) is working towards the goals of improving student achievement in K-12 schools and ensuring that K-12 students transition successfully from K-12 to postsecondary education and the workforce. Currently, each agency collects some type of performance data, however data collected within each agency does not always provide a full picture of longitudinal outcomes (how participants fared over a length of time). While ND has experience linking educational and workforce data with the current Follow-up Information on North Dakota Education and Training (FINDET) System, ND needs to develop an architecture to provide the longitudinal data required to perform the research for program evaluation over time. ND has made progress toward planning a Statewide Longitudinal Data System (SLDS) and is prepared to initiate the building of this system.

Overall Project Status: Green

LPO Summary: Due to losing a business analyst resource, the project team was not able to finish all the work desired for the proof of concept by the 8/31/11 due date; therefore, the work is continuing and is anticipated to complete in October 2011. In contrast, work was started on the entity resolution development, which is being used to finalize the design of the master person index. The project is under budget as the vendor has not needed all the time estimated to perform the design and preliminary data loading work and ITD has not yet required the additional business intelligence analyst that was included in the budget.

Schedule Variance: 9.6 % Behind **Budget Variance:** 76.9 % Under

| Base Start | Base End | Revised End | Total Months | Base Budget | Revised Budget | Actual | EAC |
|------------|------------|-------------|--------------|--------------|----------------|-----------|--------------|
| 02/01/2011 | 12/21/2012 | N/A | 22 | \$ 4,691,649 | \$ 1,929,000 | \$ 87,311 | \$ 1,638,120 |

1250 - Attorney General

Project Name: Crime Lab Information Management System

Description: The North Dakota Office of Attorney General's Crime Lab Division sought to find a Crime Lab Information Management System (LIMS) that will provide the Crime Lab the functionality to manage information tracking evidence, related to cases, training records, statistical information, tracking of techniques in the analysis, identifications, and comparisons of physical evidence involved in the investigation and prosecution of criminal offenses statewide. This system will be designed so that it is easily used and supported through the criminal justice network. The vendor, Forensic Advantage, was selected and a contract will be executed. Their system solution will be implemented to replace the existing management systems and add additional functionality.

Overall Project Status: Green

LPO Summary: This project is slightly behind schedule and considerably under budget. The solution has been implemented and training has been completed. The project team anticipates this project will close out during Q4 2011.

Schedule Variance: 1.5 % Behind **Budget Variance:** 25.6 % Under

| Base Start | Base End | Revised End | Total Months | Base Budget | Revised Budget | Actual | EAC |
|------------|------------|-------------|--------------|-------------|----------------|------------|------------|
| 04/12/2010 | 10/14/2011 | N/A | 18 | \$ 700,000 | N/A | \$ 444,473 | \$ 700,000 |

1500 - Legislative Assembly

Project Name: Legislative Enterprise System North Dakota

Description: North Dakota's Legislative Council (NDLC) seeks to acquire and successfully implement a solution to replace the legislature's core business processes (bill drafting, bill amendments, resolutions, session management, daily calendars and journals, bill status reports, session laws, and other legislative publications).

Overall Project Status: Green

LPO Summary: LEGEND is complete with post session publications being complete and all trac tickets associated with work flow issues or "bugs" finalized. Project staff has finalized knowledge transfer according to statement of work. Internal ITD staff are now primary technical points of contact for LEGEND applications. The project is now closed, and project closeout documentation will be completed and submitted within the timeframe allotted per state standard.

Schedule Variance: 15.4 % Behind **Budget Variance:** 4.0 % Under

| Base Start | Base End | Revised End | Total Months | Base Budget | Revised Budget | Actual | EAC |
|------------|------------|-------------|--------------|--------------|----------------|--------------|--------------|
| 06/29/2009 | 05/31/2011 | N/A | 23 | \$ 5,637,066 | \$ 5,752,497 | \$ 5,474,117 | \$ 5,474,117 |

1920 - Public Employees Retirement System

Project Name: Legacy Application System Replacement [LASR] Phase 4

Description: NDPERS intends to implement a new integrated benefits administration solution that will fill the business needs of the departments and staff of NDPERS, as well as the needs of the customers of NDPERS.

Overall Project Status: Green

LPO Summary: The project implemented approximately 98% of the functionality on schedule October 4, 2010. The base "member self-service" was delivered by the vendor. NDPERS delayed the release of this functionality. A Usability study of Member Self Service was completed and the results are being analyzed. The project schedule is being reviewed to determine the impact of implementing the recommended changes resulting from the usability study. This project is operating under a re-plan and, if successful, will complete UNDER budget and 47.1% BEHIND schedule when measured against the original baselines.

Schedule Variance: 0.0 % **Budget Variance:** 6.0 % Under

| Base Start | Base End | Revised End | Total Months | Base Budget | Revised Budget | Actual | EAC |
|------------|------------|-------------|--------------|---------------|----------------|--------------|--------------|
| 12/17/2007 | 09/30/2010 | 02/13/2012 | 50 | \$ 10,502,214 | N/A | \$ 9,552,911 | \$ 9,873,493 |

2010 - Department of Public Instruction

Project Name: NDFoods

Description: The project replaces the existing Child Nutrition and Food Distribution programs (CNFD) within the Department of Public Instruction (DPI) and all CFND program sponsors. The NDFoods project will streamline business processes, provide accurate and timely information, improve communications, speed claims for reimbursement, allow for data collection and analysis, and reduce paperwork.

Overall Project Status: Green

LPO Summary: This project is progressing as planned with considerable budget savings to date. All development work is complete and the project team will begin a thorough testing process in the upcoming quarters.

Schedule Variance: 9.9 % Behind **Budget Variance:** 32.6 % Under

| Base Start | Base End | Revised End | Total Months | Base Budget | Revised Budget | Actual | EAC |
|------------|------------|-------------|--------------|--------------|----------------|------------|--------------|
| 04/20/2010 | 09/28/2012 | 11/28/2012 | 31 | \$ 1,173,035 | N/A | \$ 677,091 | \$ 1,173,035 |

2010 - Department of Public Instruction

Project Name: North Dakota State Longitudinal Education Data System

Description: The project will implement a data warehouse and analysis tools to evaluate and deliver data. This data will be comprised of kindergarten through secondary education (P-12) data to meet the needs of federal and state reporting, the No Child Left Behind Act (NCLB), policymakers, school districts, educators, and the public. The purpose of the project is to provide the data and analysis tools which can be used to measure the effectiveness of policies, curriculums, and programs intended to improve student outcomes. The data will be usable by other State entities for their individual initiatives. Due to the complexity of the scope of work, it was determined to divide this work into two separate projects: a planning project (ndSLEDs Phase 1) and an execution project (ndSLEDs Phases 2-5).

Overall Project Status: Green

LPO Summary: The project has completed Year 2 and is currently under budget and on schedule. The project team has defined and baselined the Year 3 scope and budget and has started working on those activities. Year 3 includes: Define and load remaining assessments, National Clearing House reports, replicate three current finance reports, immunization reports and extracts, attendance categorizations, staff domain and replicate the School District Employee Compensation Report, continue converting Nashville's reports for ND usage, portal maintenance and expansion, evaluate career interest inventories.

Schedule Variance: 0.0 % Ahead **Budget Variance:** 7.0 % Under

| Base Start | Base End | Revised End | Total Months | Base Budget | Revised Budget | Actual | EAC |
|------------|------------|-------------|--------------|--------------|----------------|--------------|--------------|
| 12/01/2010 | 06/28/2013 | 06/28/2013 | 30 | \$ 4,915,680 | \$ 4,915,680 | \$ 1,090,469 | \$ 4,799,826 |

3010 - Department of Health

Project Name: Electronic Disease Surveillance and Management System

Description: The project will consist of implementing a COTS electronic disease surveillance and outbreak management system that meets both the NDDoH's ongoing and evolving business needs and works within federal standards. The COTS solution will replace the existing software.

Overall Project Status: Yellow

LPO Summary: The final quality control is underway of the entire product; once quality control has been completed final money due to Consilience will be paid. Upon review there was a HAN alert issue that was identified that needs to be corrected before final signoff on the project occurs. The fix for this problem entailed an upgrade to Maven 4.0 from 3.6. This upgrade is in process. Once the upgrade is completed the beginning of October the final quality control will be completed and final signoff will occur. The project is at 200.7% BEHIND schedule and on-budget. This project is operating under a re-plan and will complete on budget and 400.0% BEHIND schedule when measured against the original baselines.

Schedule Variance: 200.7 % Behind **Budget Variance:** 0.0 %

| Base Start | Base End | Revised End | Total Months | Base Budget | Revised Budget | Actual | EAC |
|------------|------------|-------------|--------------|-------------|----------------|------------|------------|
| 07/01/2008 | 03/31/2009 | 08/31/2009 | 13 | \$ 555,000 | N/A | \$ 533,177 | \$ 555,000 |

3010 - Department of Health

Project Name: ND Immunization Information System Enhanced Interoperability

Description: The North Dakota Immunization Information System (NDIIS) is a confidential, population-based, computerized information system that collects vaccination data about all North Dakotans. The NDIIS is an important tool to increase and sustain high vaccination coverage by consolidating vaccination records of children from multiple health care providers, providing immunization coverage rate reports for providers, and providing official immunization forms.

This project is the development of real-time, bi-directional electronic interfaces between the NDIIS and various Electronic Health Record (EHR) systems throughout the state; these interfaces will increase interoperability between the systems while reducing duplication of data entry practices.

Overall Project Status: Yellow

LPO Summary: Wave 2, the pilot phase, did not successfully complete during the 3rd quarter as planned. The project team has had to make key decisions based on the pilot which, if approved, will result in considerable changes to the project moving forward. There is no plan to re-baseline the schedule at this time; however a new working schedule will reflect a recovery strategy based on planning efforts scheduled to occur immediately following the completion of the Wave 2 pilot integration.

Schedule Variance: 42.1 % Behind **Budget Variance:** 17.8 % Under

| Base Start | Base End | Revised End | Total Months | Base Budget | Revised Budget | Actual | EAC |
|------------|------------|-------------|--------------|-------------|----------------|------------|------------|
| 11/01/2010 | 07/13/2012 | N/A | 20 | \$ 569,634 | \$ 568,782 | \$ 143,854 | \$ 568,782 |

3250 - Department of Human Services

Project Name: 5010

Description: The Centers for Medicare and Medicaid Services (CMS) is underway with implementation activities to convert from Health Insurance Portability and Accountability Act (HIPAA) Accredited Standards Committee (ASC) X12 version 4010A1 to ASC X12 version 5010 and National Council for Prescription Drug Programs (NCPDP) version 5.1 to NCPDP version D.0. The Department of Human Services Medicaid Management Information System (MMIS) is currently unable to successfully create and submit a 4010A1 HIPAA Health Care Claim Payment / Advice, an 835 transaction. Broken into 3 sub-projects, the North Dakota Department of Human Services, Medical Services Division's Medicaid Management Information System (MMIS) and the Point of Sale System are to be enhanced to meet CMS's Level I compliancy.

Overall Project Status: Green

LPO Summary: Development for the project has been completed, and system testing/user acceptance testing is underway. The team has a target date of October 28 to complete the testing, although this is behind schedule, and additionally is at risk of missing this October date.

Schedule Variance: 17.0 % Behind **Budget Variance:** 10.2 % Under

| Base Start | Base End | Revised End | Total Months | Base Budget | Revised Budget | Actual | EAC |
|------------|------------|-------------|--------------|--------------|----------------|------------|--------------|
| 11/24/2010 | 07/11/2011 | 08/26/2011 | 9 | \$ 1,052,840 | \$ 1,232,462 | \$ 714,989 | \$ 1,232,462 |

3250 - Department of Human Services

Project Name: Medicaid Systems Project

Description: This project is to replace the current Medicaid MMIS, POS & DSS/DW systems.

Overall Project Status: Red

LPO Summary: The project teams continued to work on their respective tasks as resources were available from ACS. Most of the ND resources that ACS moved to work on Enterprise have returned with the remaining few to be returned by October 15, 2011. Work on ND design/development and MMIS testing resumed in September. ACS provided an updated schedule on September 23, 2011 with a proposed Implementation Date of June 28, 2013. The new Date is based on the continued 2011 delays of ACS Health Enterprise test completion and the resulting need to incorporate ICD-10 code handling functionality in ND Enterprise in order to meet its 2013 compliance date. Contract negotiations continued during this quarter and are expected to be completed during the next quarter. This project is currently in a re-planning effort. The current baseline would have had the project complete 103% BEHIND schedule when measured against the original baseline. End Budget Variance is not available at this time due to contract negotiations.

Schedule Variance: 16.0 % Behind **Budget Variance:** 4.5 % Over

| Base Start | Base End | Revised End | Total Months | Base Budget | Revised Budget | Actual | EAC |
|------------|------------|-------------|--------------|---------------|----------------|---------------|---------------|
| 07/01/2005 | 07/31/2009 | 06/01/2012 | 83 | \$ 62,529,371 | N/A | \$ 41,394,491 | \$ 62,529,371 |

3800 - Job Service North Dakota

Project Name: Arizona, Wyoming, Idaho, North Dakota (AWIN) Consortium

Description: Confirm the feasibility of and create a high-level design (including identification of options for building the system, risks and risk mitigation strategies, proposed architecture, estimated costs, estimated timelines, estimated resources, roles and responsibilities, and build and implementation strategies) and plan for building integrated Unemployment Insurance (UI) Benefits and Tax systems which can be implemented or hosted for other states by any of the AWIN (Arizona, Wyoming, Idaho, North Dakota) consortium states.

Overall Project Status: Green

LPO Summary: The project is on track to complete all execution activities in the 4th quarter.

The Department of Labor issued a federal grant which would allow the consortium to build a modernized UI system. North Dakota, Wyoming, Colorado, and Arizona applied for and received the grant. The build will be done as new project as the objectives for the AWIN project will have been met. The new project will be called WyCAN.

The Department of Labor allowed the agency to transfer funds from the AWIN project to the WyCAN project. The LPO Reportable budget for the AWIN project was reduced from \$408,458 to \$345,006.

Schedule Variance: 0.0 %

Budget Variance: 19.4 % Under

| Base Start | Base End | Revised End | Total Months | Base Budget | Revised Budget | Actual | EAC |
|------------|------------|-------------|--------------|-------------|----------------|------------|------------|
| 06/14/2010 | 12/31/2011 | 03/31/2012 | 21 | \$ 408,508 | \$ 345,006 | \$ 318,862 | \$ 291,557 |

3800 - Job Service North Dakota

Project Name: Interactive Voice Response System Rewrite

Description: The scope of the project is to rewrite the JSND Interactive Voice Response (IVR) application. The infrastructure of the current IVR system is being phased out by the hosting agency, the Information Technology Department. The Interactive Voice Response system currently in use is obsolete and unstable with frequent service interruptions directly affecting UI claimant's ability to interact with the agency to file claims, certify weeks for payment, and conduct other inquiries via telephone.

Overall Project Status: Green

LPO Summary: The product of the project is now in system testing. The project team anticipates the project will complete testing and move to production during Q4 2011.

Schedule Variance: 4.8 % Behind

Budget Variance: 5.9 % Under

| Base Start | Base End | Revised End | Total Months | Base Budget | Revised Budget | Actual | EAC |
|------------|------------|-------------|--------------|--------------|----------------|------------|--------------|
| 04/18/2011 | 12/15/2011 | N/A | 8 | \$ 1,369,541 | N/A | \$ 846,637 | \$ 1,439,541 |

4710 - Bank of North Dakota

Project Name: North Dakota College Access Network

Description: The North Dakota College Access grant was established in 2008 to increase the number of low-income students to enroll and succeed in postsecondary education as well as provide a variety of resources that make college planning easier for all North Dakota (ND) citizens. This project is to reach, connect and engage Native American youth and their caregivers with relative and engaging information/resources on attending and paying for college by the start of the 2011 fall school term by using GoodHealthTV®, NDCAN website, and print. Information will also be provided via touch screens which will be supplied to each high school located on a ND American Indian reservation.

Overall Project Status: Green

LPO Summary: This project continues to progress within acceptable cost and schedule variance.

Schedule Variance: 6.6 % Behind **Budget Variance:** 8.9 % Under

| Base Start | Base End | Revised End | Total Months | Base Budget | Revised Budget | Actual | EAC |
|------------|------------|-------------|--------------|-------------|----------------|-----------|------------|
| 06/21/2011 | 12/09/2011 | 11/23/2011 | 5 | \$ 321,500 | \$ 306,500 | \$ 76,123 | \$ 306,500 |

4850 - Workforce Safety and Insurance

Project Name: Information Technology Transformation Program

Description: WSI's existing workers' compensation system is used for processing and administering approximately 20,000 policies and \$85 million in annual claims. Due to the age and decreasing efficiency of the system, WSI is seeking to purchase and configure a COTS system.

Overall Project Status: Red

LPO Summary: Schedule variance continues to fall further behind as do chances for achieving baselined dates for the current (fourth) approved project baseline. The reason for this is that a large release that was delivered this period contained a large volume of defective work that will need to be reworked for future releases, thereby increasing the amount of work that needs to be done later in the schedule. As a result, the pending November 2011 release currently contains around 95 CRs due to defects and issues discovered during August 2011 release testing. This high volume of defects puts the go-live date of January 31, 2012 for Claims at risk.

Schedule Variance: 41.3 % Behind **Budget Variance:** 3.5 % Over

| Base Start | Base End | Revised End | Total Months | Base Budget | Revised Budget | Actual | EAC |
|------------|------------|-------------|--------------|---------------|----------------|---------------|---------------|
| 12/01/2007 | 11/30/2009 | 12/03/2012 | 60 | \$ 12,813,171 | \$ 17,813,289 | \$ 14,732,843 | \$ 18,510,962 |

5400 - Adjutant General

Project Name: Statewide Seamless Base Map

Description: To obtain a statewide, seamless, spatially accurate, and complete base map dataset of the State of North Dakota that is to be accessible by all state agencies, local and tribal governments, the commercial sector, and the general public.

The State, local and tribal governments, and the private and public sectors would benefit from a seamless base map data set that is spatially accurate and contains the necessary attributes to be used by multiple applications and users that have a need to leverage mapping services.

Overall Project Status: Yellow

LPO Summary: Although pressures that have impacted resource availability have lessened, schedule slippage remains. In a continued effort to mitigate delay the project team is investigating hiring additional temp staff to process imagery. Key progress this quarter includes continued image capture, image creation, centerline digitizing, hiring of temps, and completion of scoring for the address point RFP.

Schedule Variance: 48.2 % Behind **Budget Variance:** 28.6 % Under

| Base Start | Base End | Revised End | Total Months | Base Budget | Revised Budget | Actual | EAC |
|------------|------------|-------------|--------------|--------------|----------------|------------|--------------|
| 07/01/2010 | 09/28/2012 | N/A | 26 | \$ 1,959,809 | N/A | \$ 570,954 | \$ 2,700,000 |

6160 - Seed Department

Project Name: State Seed Application Software 2009 Upgrade

Description: The programming language and development tools of the existing Seed Department business application have become obsolete. Although the toolset has met the business needs, the age of the system has caused the department to believe that future upgrades and maintenance will be difficult and costly, putting most of the operational aspects of the business at risk. It is the intent of the State Seed Department to upgrade the current application software from obsolete development tools to current tools meeting state technology standards, specifically Microsoft.net tools and protocols interfacing with Microsoft SQL Server databases.

Overall Project Status: Green

LPO Summary: The project is in the middle of phase four of six phases. Phase four is scheduled for completion by December 31, 2011.

Schedule Variance: 0.9 % Ahead **Budget Variance:** 1.5 % Over

| Base Start | Base End | Revised End | Total Months | Base Budget | Revised Budget | Actual | EAC |
|------------|------------|-------------|--------------|-------------|----------------|------------|------------|
| 12/01/2009 | 12/31/2012 | N/A | 36 | \$ 350,000 | \$ 358,000 | \$ 238,430 | \$ 365,050 |